

01/08/01

3563 U.S. PTO

01-10-01

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Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04860.P2654
First Named Inventor Alexander M. Rosenberg
Title: Systems and Methods for Using Media Upon Insertion into a Data Processing System
Express Mail Label No. EL 431 891 590 US

3563 U.S. PTO
09/757406
01/08/01

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

- ☒ **Fee Transmittal Form** (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- ☐ **Applicant Claims Small Entity Status.** (37 CFR 1.27)
- ☒ **Specification** (Total Pages 32)
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- ☒ **Drawings(s)** (35 USC 113) (Total Sheets 6)
- ☒ **Oath or Declaration** (Total Pages 5)
a. ☐ Newly Executed (Original or Copy)
b. ☐ Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
i. ☐ **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
c. ☐ Unsigned.
- ☐ **Application Data Sheet.** (37 CFR 1.76)
- ☐ **CD-ROM or CD-R** in duplicate, large table or Computer Program (Appendix)
- ☐ **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ **Assignment Papers** (cover sheet & documents(s))

09/757406-010001

11/28/00

10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
☐ b. Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Copy of postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
Of Prior Application No.: ☐ Examiner ☐ Group Art Unit ☐

(which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. ☐
which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. ☐ (List entire chain of priority))

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that ☐ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel No. ☐ Frame No. ☐ (or a copy of which is attached).

19. Correspondence Address

☐ Customer Number or Bar Code Label ☐
or ☐ Correspondence Address Below (Insert Customer No. or Attach Bar Code Label here)

NAME James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): James C. Scheller, Jr. Registration No.: 31195

Signature: [Signature] Date: 1/8/2001

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 2,126.00

Complete if Known:

Application No. _____
Filing Date January 8, 2001
First Named Inventor Alexander M. Rosenberg
Group Art Unit _____
Examiner Name _____
Attorney Docket No. 04860.P2654

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check
☐ Money Order
☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|------------------------------------|------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 101 | 710 | 201 | 355 | Utility application filing fee | <u>\$710.00</u> |
| 106 | 320 | 206 | 160 | Design application filing fee | _____ |
| 107 | 490 | 207 | 245 | Plant filing fee | _____ |
| 108 | 710 | 208 | 355 | Reissue filing fee | _____ |
| 114 | 150 | 214 | 75 | Provisional application filing fee | _____ |
| SUBTOTAL (1) | | | | | \$ 710.00 |

2. EXTRA CLAIM FEES

| | | | Extra | | Fee from below | | Fee Paid |
|---------------------------|-----------|--------|-----------|---|----------------|---|---------------|
| Total Claims | <u>72</u> | - 20 = | <u>52</u> | X | <u>18.00</u> | = | <u>936.00</u> |
| Independent Claims | <u>9</u> | - 3 = | <u>6</u> | X | <u>80.00</u> | = | <u>480.00</u> |
| Multiple Dependent Claims | | | <u>0</u> | X | | = | <u>0</u> |

**Or number previously paid, if greater; For Reissues, see below.

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|---|-------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 103 | 18 | 203 | 9 | Claims in excess of twenty | <u>936.</u> |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | <u>480.</u> |
| 104 | 270 | 204 | 135 | Multiple dependent claim | _____ |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent | _____ |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent | _____ |
| SUBTOTAL (2) | | | | | \$ 1416.00 |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for response within first month | |
| 116 | 390 | 216 | 195 | Extension for response within second month | |
| 117 | 890 | 217 | 445 | Extension for response within third month | |
| 118 | 1,390 | 218 | 695 | Extension for response within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for response within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee | |
| 141 | 1,240 | 241 | 620 | Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (see 37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3)\$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James C. Scheller, Jr.

Signature: [Signature] Date: 7/8/2006Reg. Number: 31,195 Telephone Number: (408) 720-8300

10303 U.S. PTO
09/757406
01/08/01

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL431891590US
Date of Deposit: JANUARY 8, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER

(Typed or printed name of person mailing paper or fee)

Connie Thayer

(Signature of person mailing paper or fee)

1-8-2001

(Date signed)

Serial/Patent No.: *** Filing/Issue Date: January 8, 2001
Client: Apple Computer, Inc.
Title: SYSTEMS AND METHODS FOR USING MEDIA UPON INSERTION INTO A DATA PROCESSING SYSTEM
BSTZ File No.: 04860, P2654 Atty/Sec'y Initials: JCS/clt
Date Mailed: 1-8-2001 Docket Due Date: ***
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:
☐ Amendment/Response (____ pgs.) ☒ Express Mail No.: EL431891590US ☒ Check No 40156
☐ Appeal Brief (____ pgs.) (in triplicate) ☐ _____ Month(s) Extension of Time Amt. \$2126.00
☐ Application - Utility (32 pgs., with cover and abstract) ☐ Information Disclosure Statement & PTD-149 (____ pgs.) ☐ Check No _____
☐ Application - Rule 1.53(b) Continuation (____ pgs.) ☐ Issue Fee Transmittal Amt. _____
☐ Application - Rule 1.53(b) Divisional (____ pgs.) ☐ Notice of Appeal
☐ Application - Rule 1.53(b) CIP (____ pgs.) ☐ Petition for Extension of Time
☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.) ☐ Petition for _____
☐ Application - Design (____ pgs.) ☒ Postcard
☐ Application - PCT (____ pgs.) ☐ Power of Attorney (____ pgs.)
☐ Application - Provisional (____ pgs.) ☐ Preliminary Amendment (____ pgs.)
☐ Assignment and Cover Sheet ☐ Reply Brief (____ pgs.)
☒ Certificate of Mailing ☐ Response to Notice of Missing Parts
☒ Declaration & POA (5 pgs.) unsigned ☐ Small Entity Declaration for Indep. Inventor/Small Business
☐ Disclosure Docs & Copy of Invt. & Signed Letter (____ pgs.) ☐ Transmittal Letter, in duplicate 3 pgs
☒ Drawings 6 # of sheets includes 6 figures ☐ Fee Transmittal, in duplicate 2 pgs
☐ Other _____